

UNIVERSIDAD DE OVIEDO



**Study of the structure of  
AMORPHOUS CARBON  
using Raman, photoemission and X-ray Absorption  
spectroscopies**

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 **Asturias**  
paraíso natural



## *Acknowledgements*

### *Samples preparation:*

- *Simon Anders*

### *Beam line 9.3.2:*

- *Zahid Hussain*
- *Xing Zhou*
- *Scott Kellar*
- *Eddy Moler*

## ***Properties:***

- ***Mechanical***

- + ***Hardness: 60-100 GPa (Diamond: 100 GPa.)***

- + ***Young Modulus: 400-500 GPa. (Diamond: 1000 GPa. SiC: 450 GPa.)***

- ***Chemically inert***

- ***Amorphous semiconductor***

- Low density of dangling bonds (differing from a-Si, a-Ge...)***

- ***Applications***

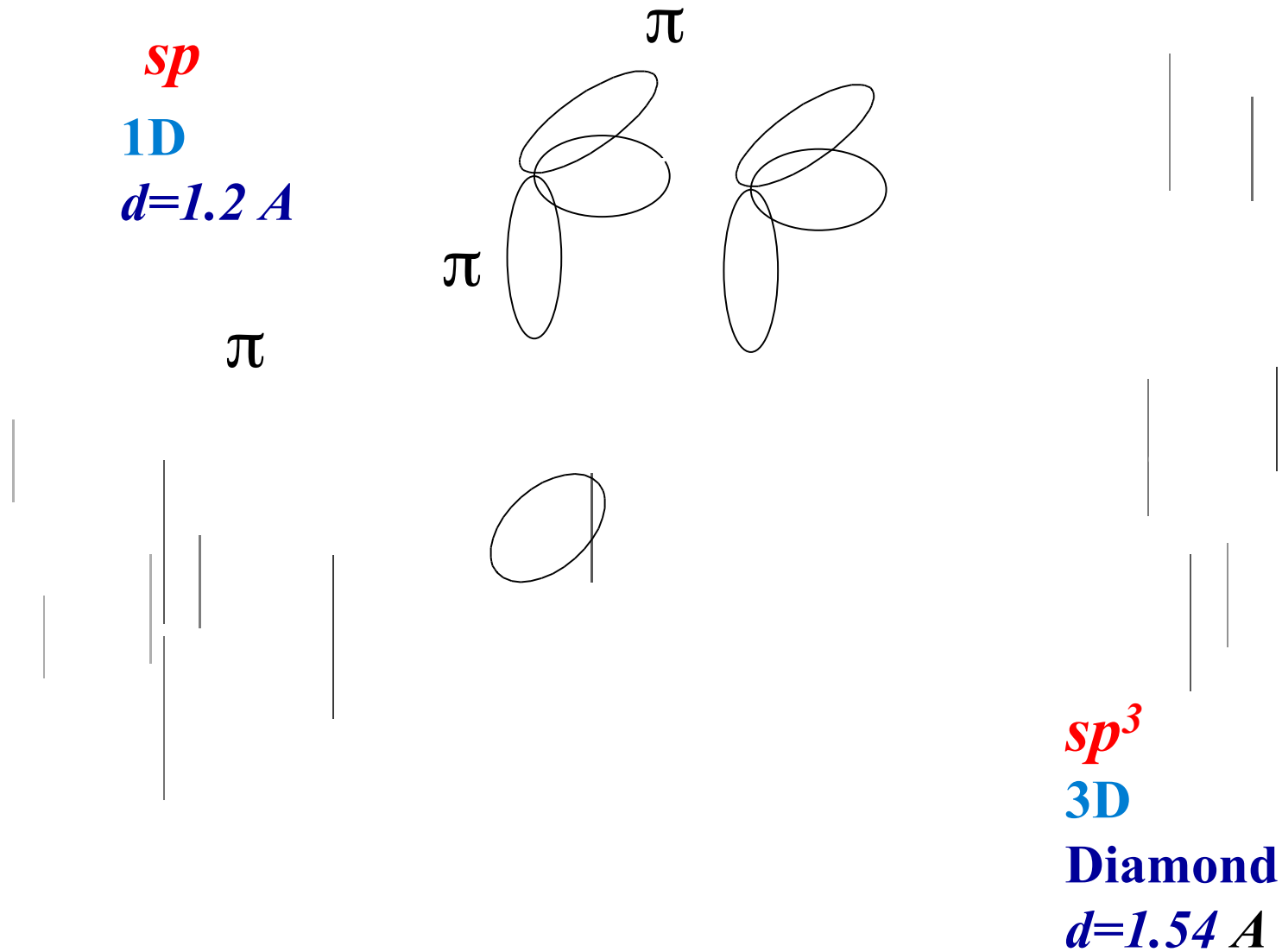
- + ***Hard discs protecting layer***

- + ***Multilayers for X-ray optics (W/C, Si/C)***

- + ***Alternative to a-Si***

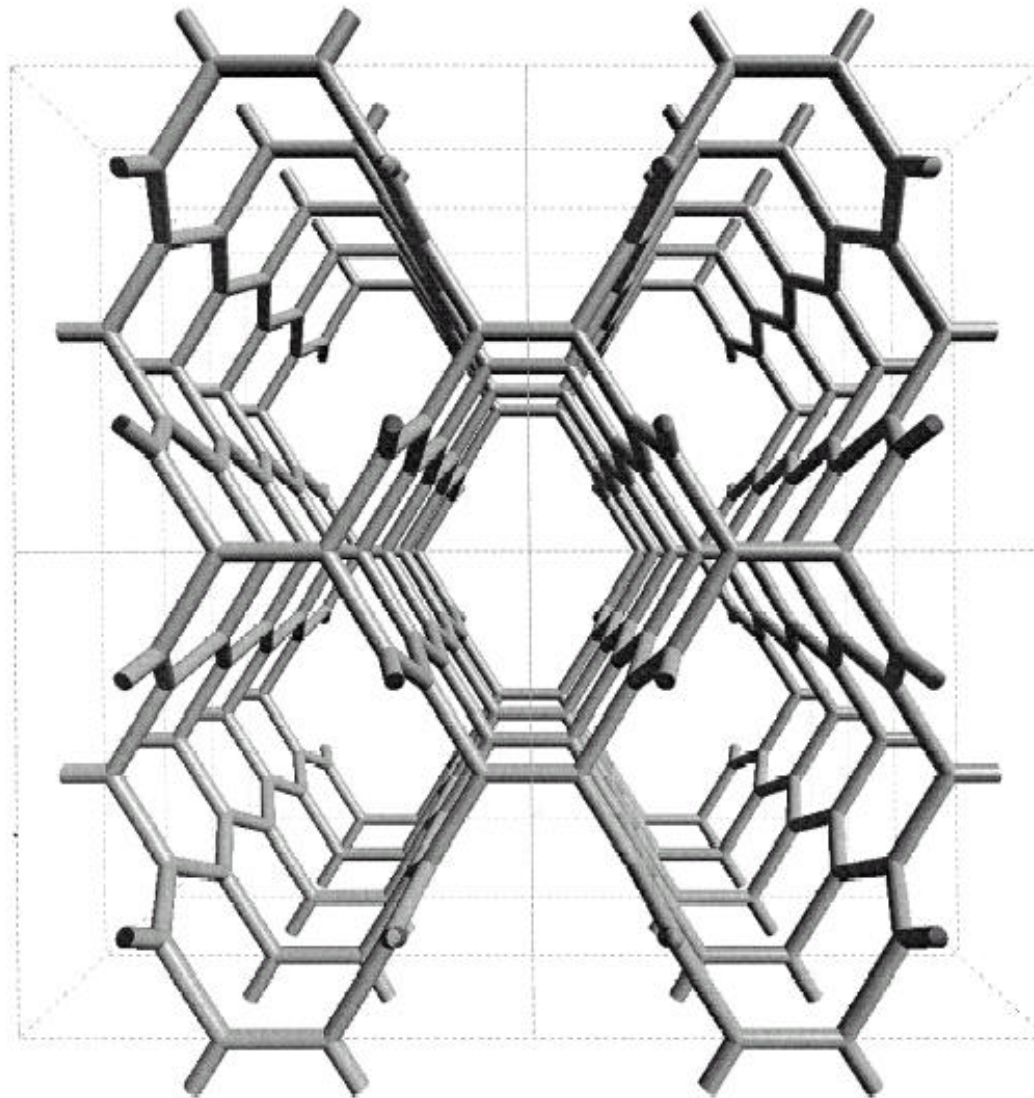
- + ***Field emitters for flat pannel displays***

# Chemical bonding in carbon: 2s & 2p orbital hybridization



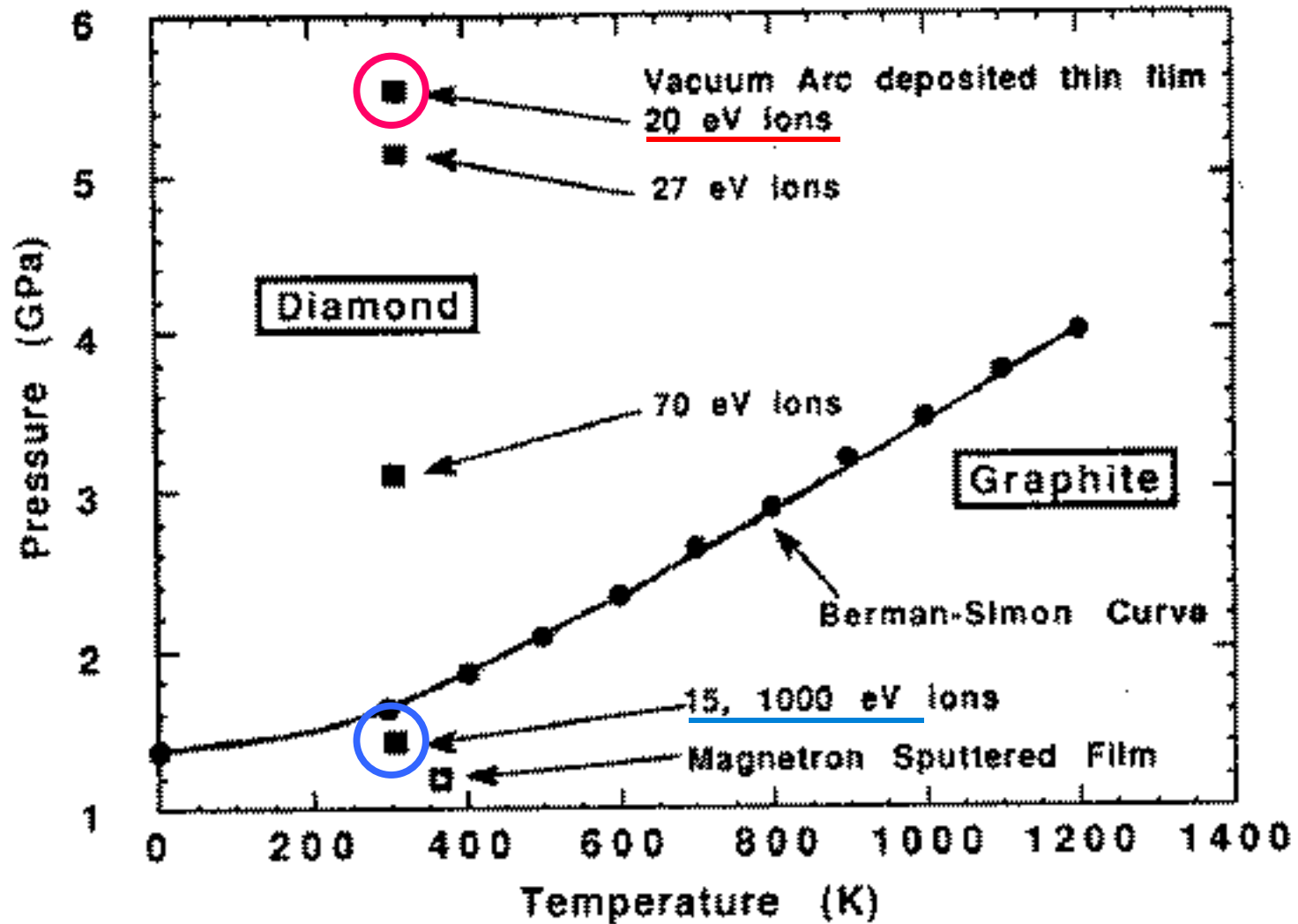


*3D “all  $sp^2$ ” carbon crystal*

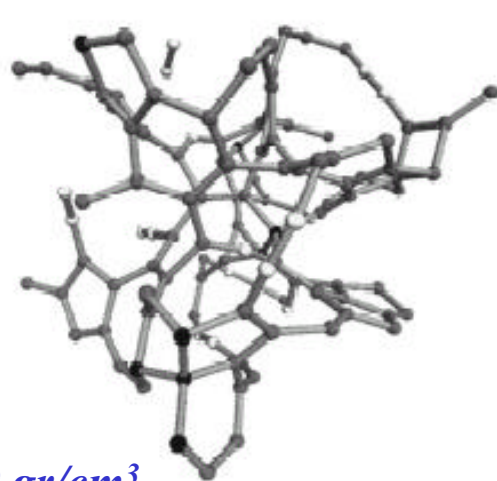


- $\pi$  bonds are **less energetic** ( 2 eV) than  $\sigma$  ( 5 eV)
- $\pi$  bonds form aromatic molecules
  - +**conjugation** (*delocalization*)
  - +**Locally planar, less compact** structures

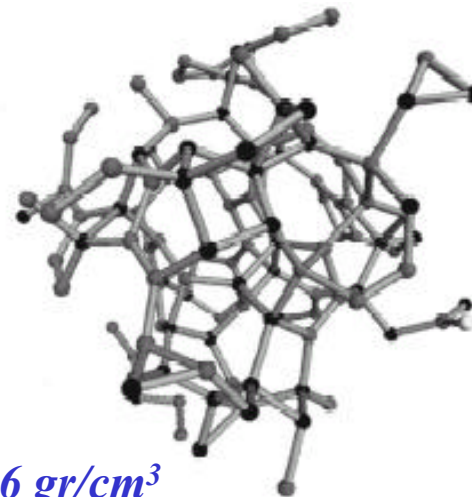
*Sample preparation:  
Energetic process*



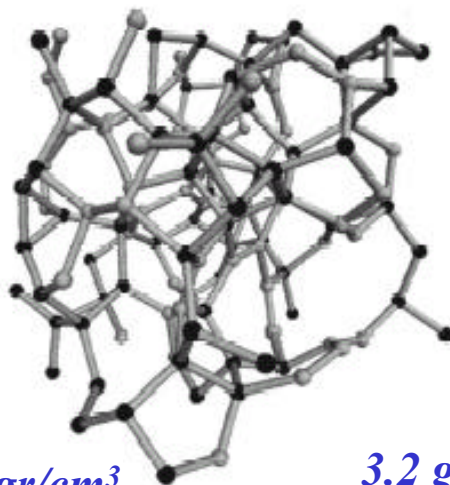




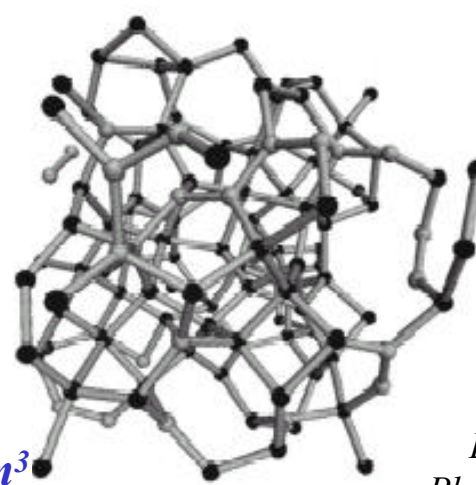
*2.0 gr/cm<sup>3</sup>*



*2.6 gr/cm<sup>3</sup>*



*2.9 gr/cm<sup>3</sup>*



*3.2 gr/cm<sup>3</sup>*

*D. G. McGulloch et al.  
Phys. Rev. B 61 (2000) 2349*

**Structural Characterization of amorphous:**  
*Spectroscopies sensitive to the local environment of atoms*

***RAMAN, PHOTOEMISSION, X-RAY ABSORPTION***

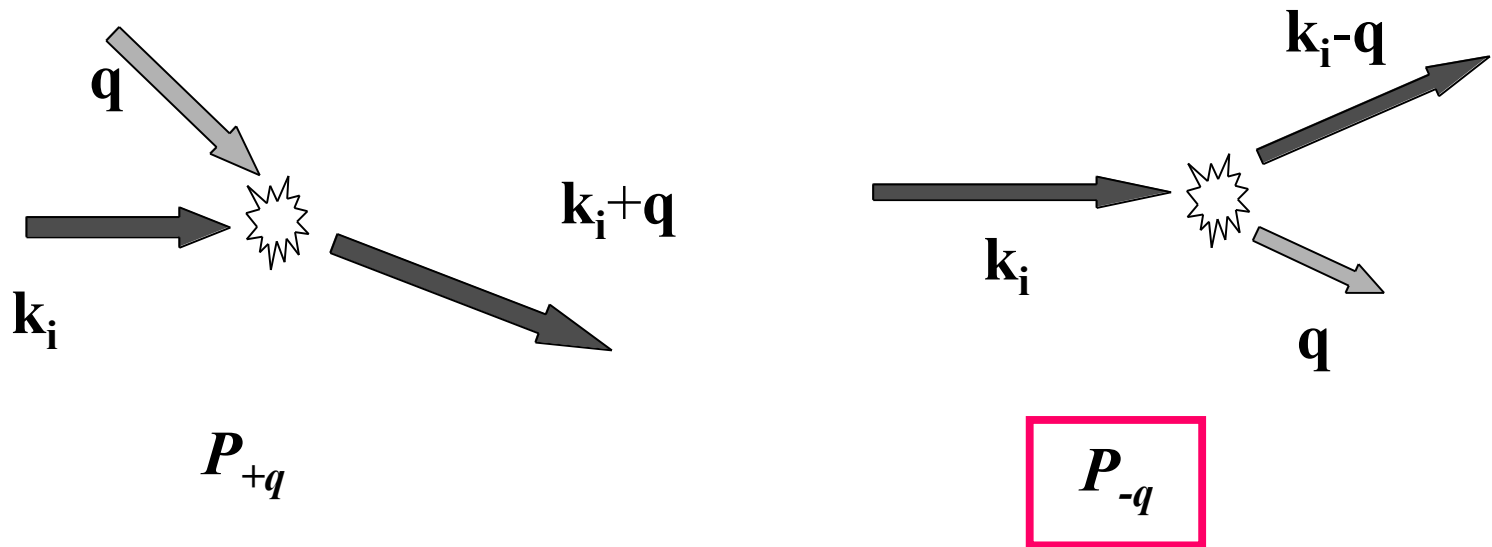
# Raman Spectroscopy

$$P = \chi(\mathbf{r}_i, \mathbf{k}_i) E \cos(\mathbf{k}_i \mathbf{r}_i - \omega_i t) =$$

$$\chi_0(\mathbf{r}_i, \mathbf{k}_i) E \cos(\mathbf{k}_i \mathbf{r}_i - \omega_i t) + (\delta \chi_0 / \delta R) R \cos(\mathbf{q} \mathbf{r}_i - \omega_q t) E \cos(\mathbf{k}_i \mathbf{r}_i - \omega_i t) =$$

$$P_0 + (\delta \chi_0 / \delta R) R E \{ \cos[(\mathbf{k}_i + \mathbf{q}) \mathbf{r}_i - (\omega_i + \omega_q) t] + \cos[(\mathbf{k}_i - \mathbf{q}) \mathbf{r}_i - (\omega_i - \omega_q) t] \} =$$

$$P_0 + P_{+q} + P_{-q}$$



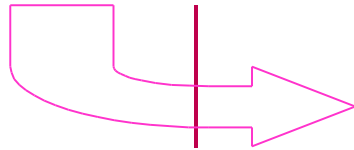
$$q < 2k_i \rightarrow \text{Using visible light, } q < (1/2000) A^{-1} \sim 0$$

## *Raman Spectroscopy*

- Vibrations in a **crystal**: phonons (*plain waves*)
- In an **amorphous**: vibrations are localized

$$\Delta q \sim 1/\Delta r$$

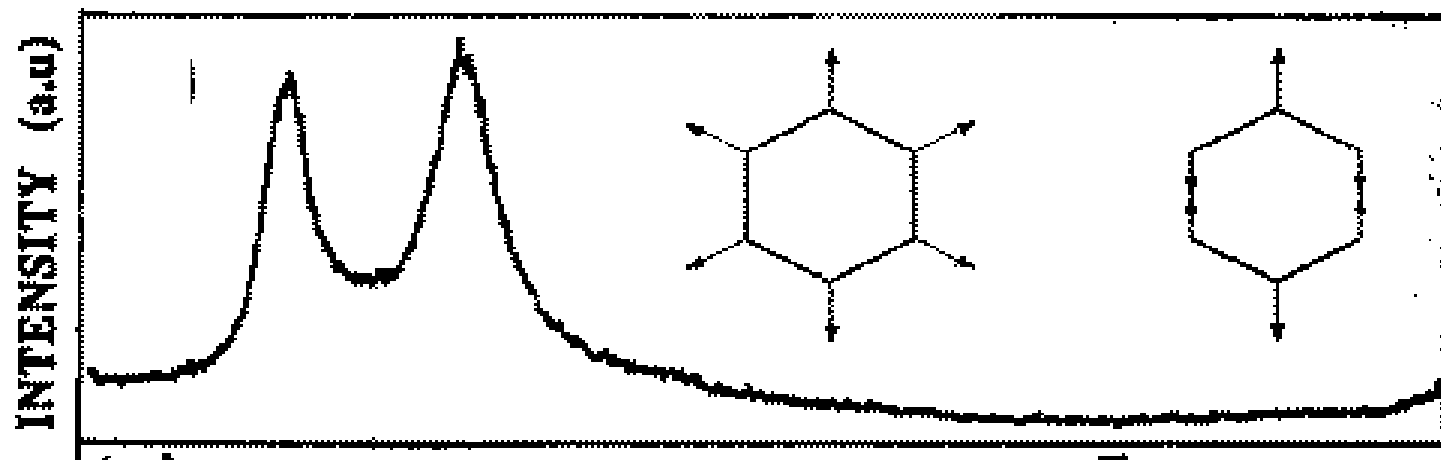
*All vibration modes are excitable*



# Raman Spectroscopy

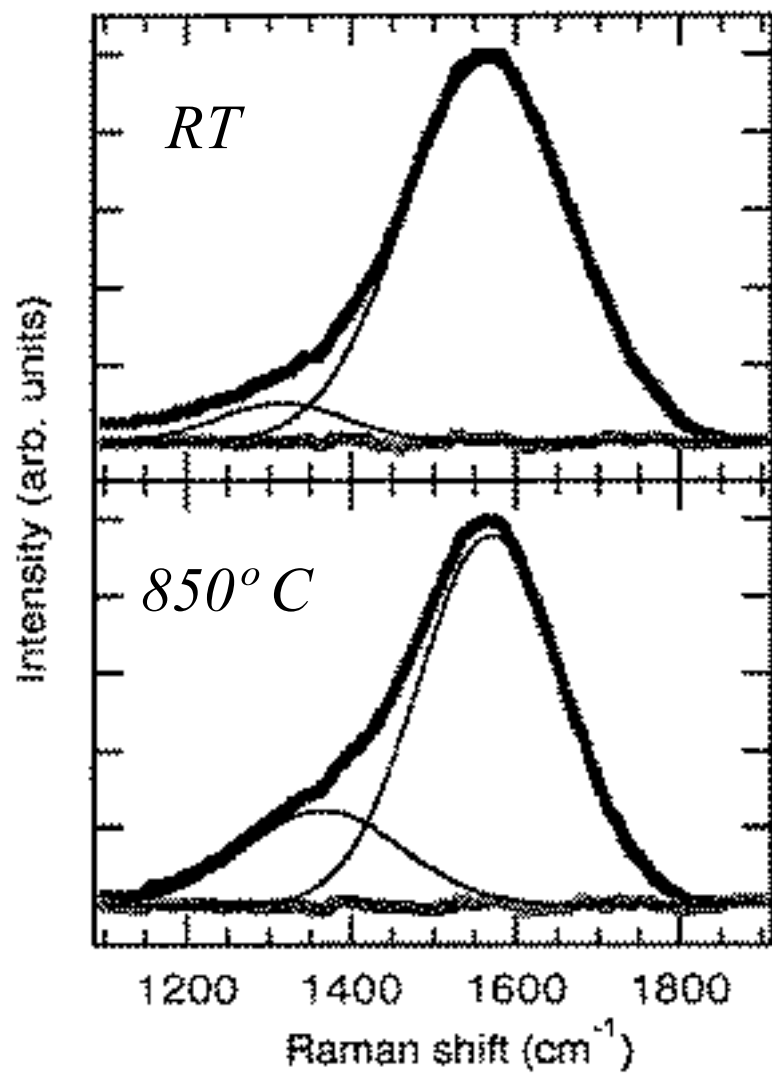
$$P_{-q} \sim (\delta \chi_0 / \delta R) R E$$

*Sensitive to local symmetry*

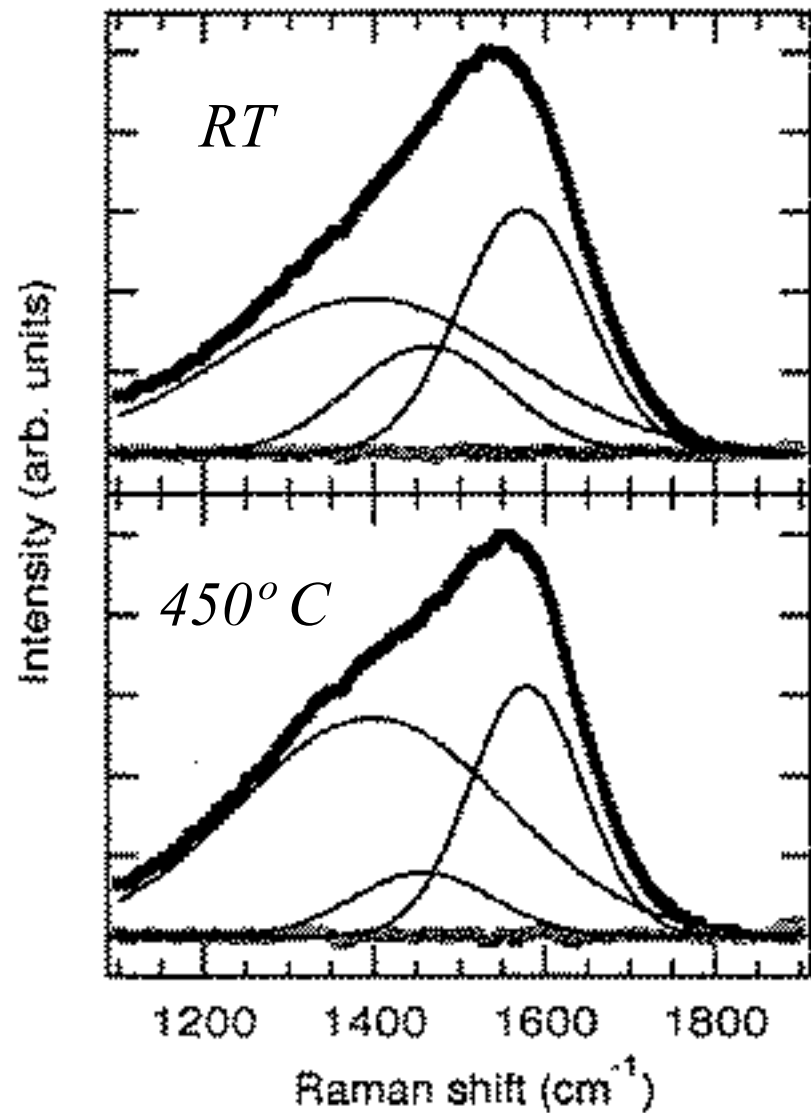


# Raman Spectroscopy

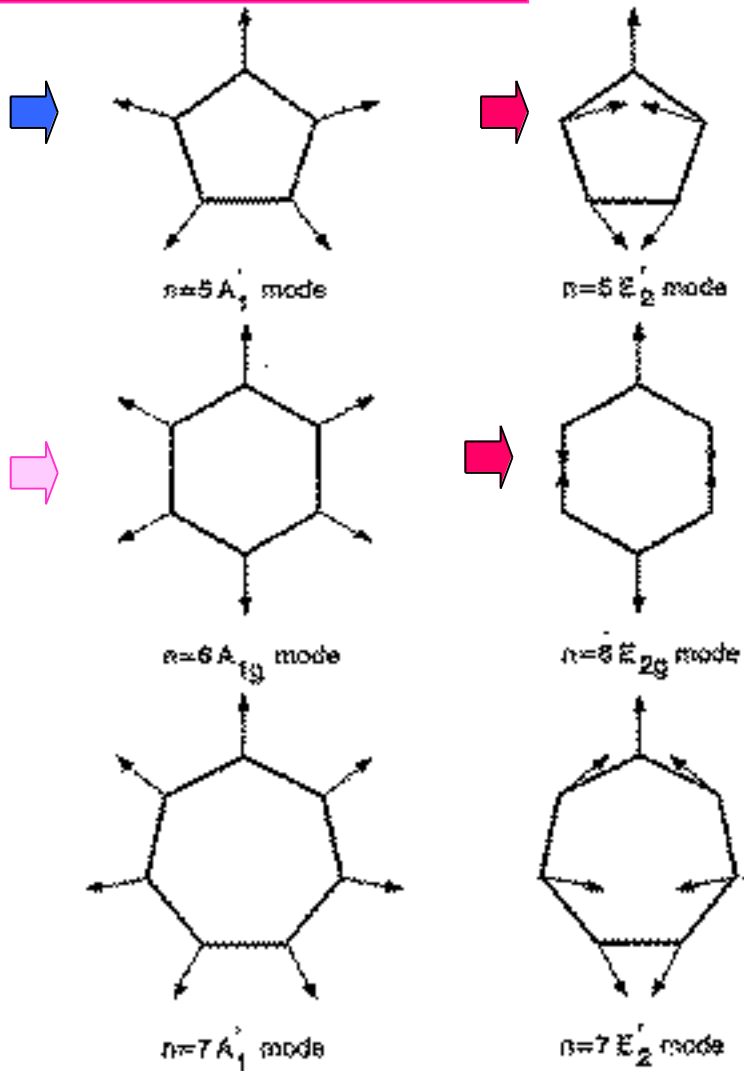
*hard a-C (2.9 gr/cm<sup>3</sup>)*



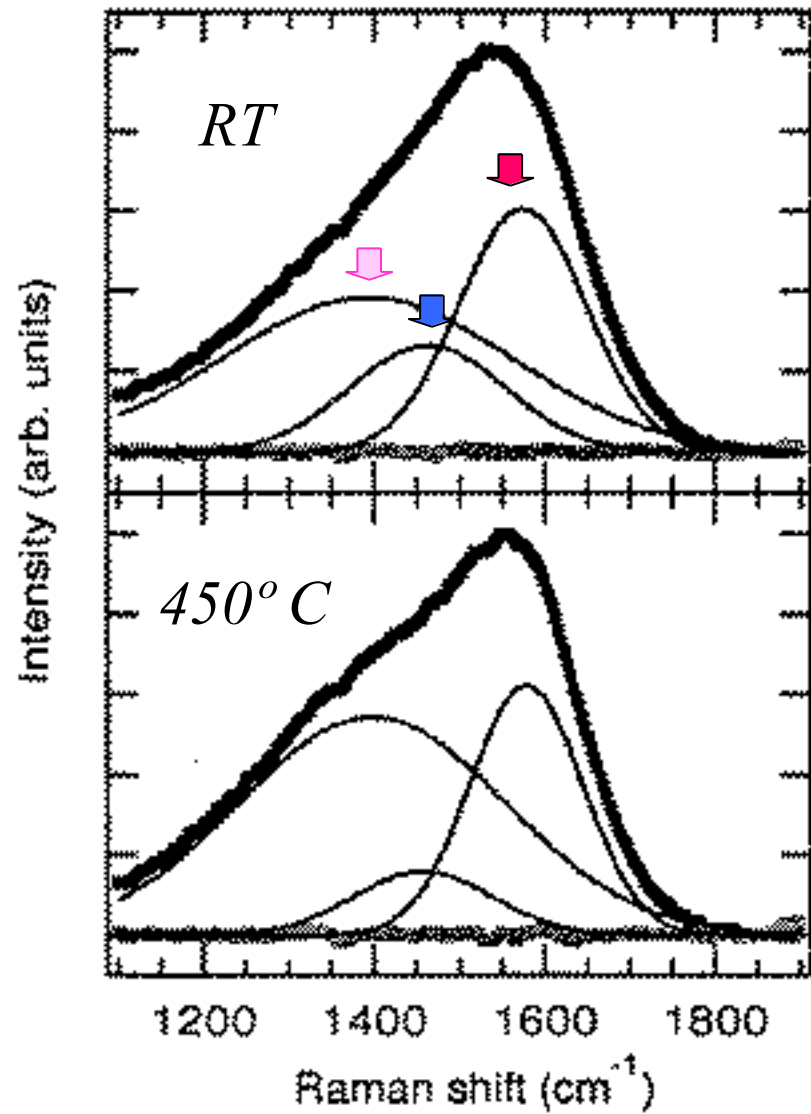
*soft a-C (2.1 gr/cm<sup>3</sup>)*



# Raman Spectroscopy



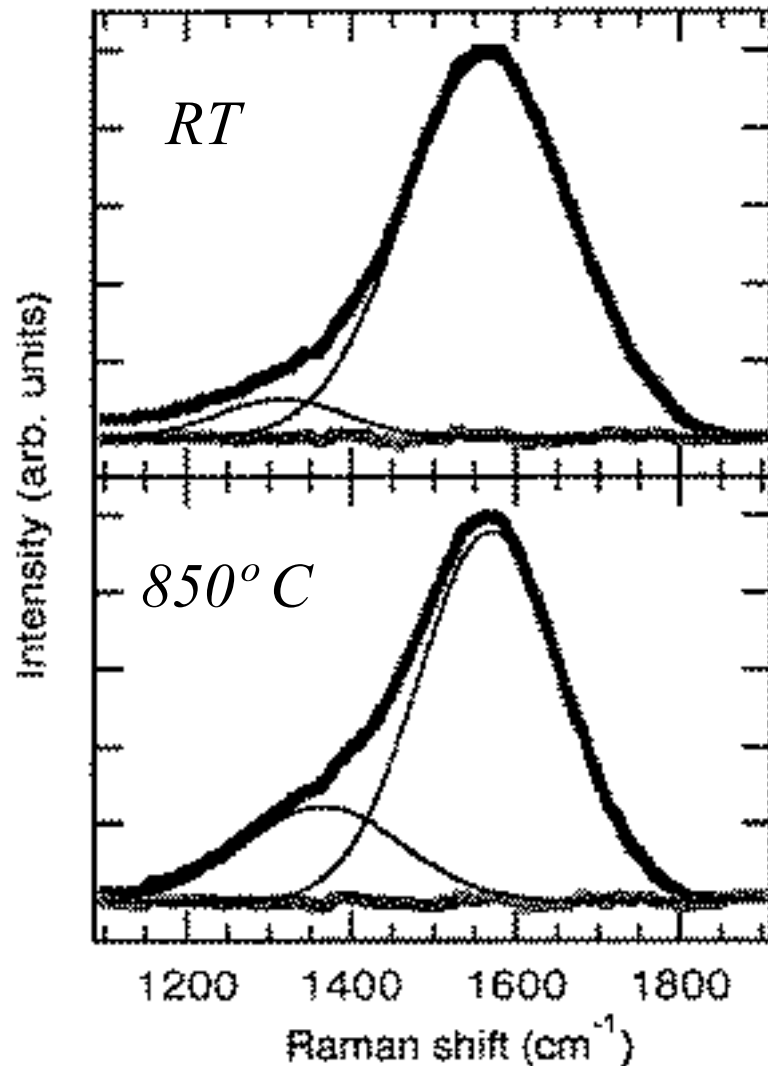
soft a-C (2.1 gr/cm<sup>3</sup>)





# Raman Spectroscopy

*hard a-C (2.9 gr/cm<sup>3</sup>)*



*hard a-C :*

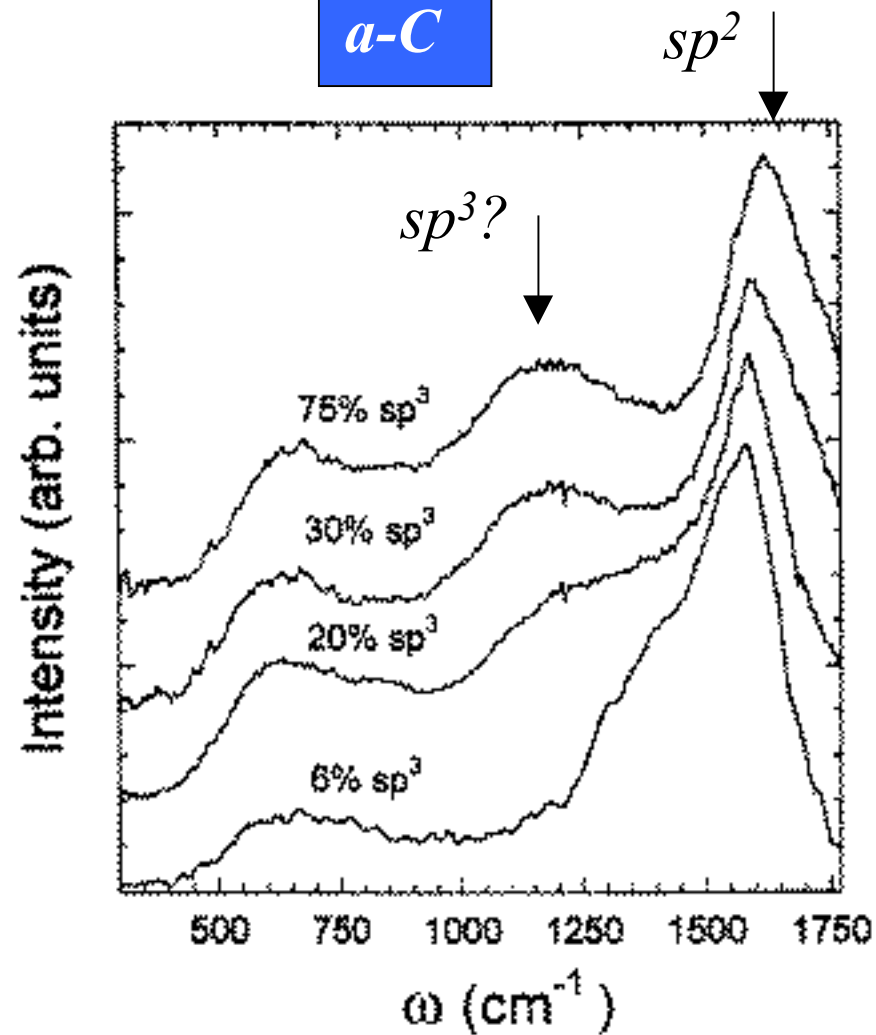
- *Very low concentration of aromatic rings*  
→ *sp<sup>2</sup> + sp<sup>3</sup> mixture?*

*soft a-C :*

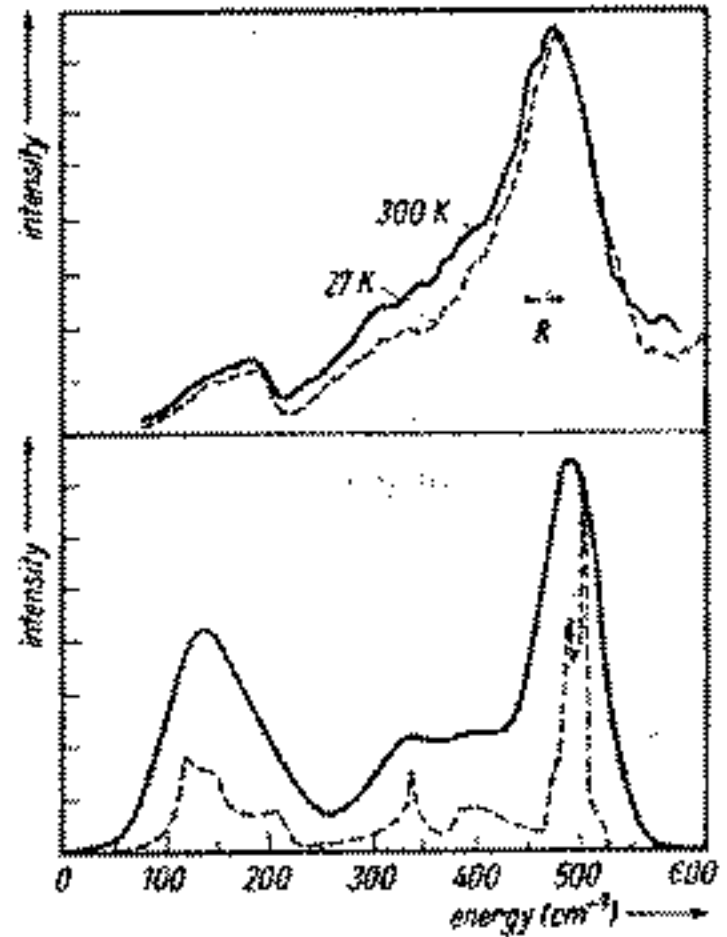
- *Aromatic rings*  
→ *sp<sup>3</sup> to join aromatic clusters?*

# Raman Spectroscopy

*a-C*



*a-Si*



*Raman UV (244 nm)*

# Photoemission Spectroscopy (XPS-UPS)

## XPS

- Core level photoemission (C *1s*) **sensitive to the environment of the excited atom**

### Binding energy shifts

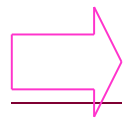
$$E_B = E_B^{at} + K\Delta Q + V$$

*A=diamond*

*B=graphite*

$$E_B^A = 285.1 \text{ eV}$$

$$E_B^B = 284.4 \text{ eV}$$

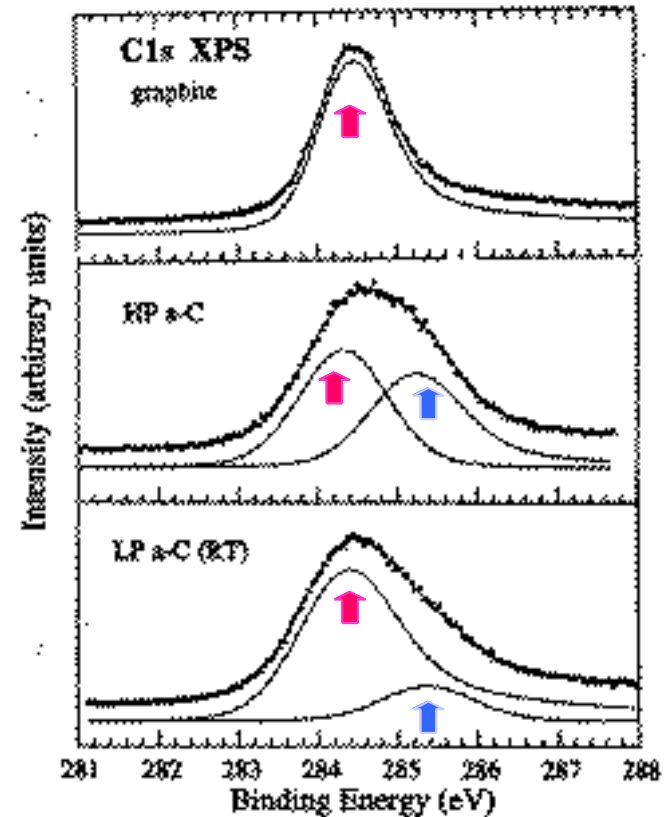
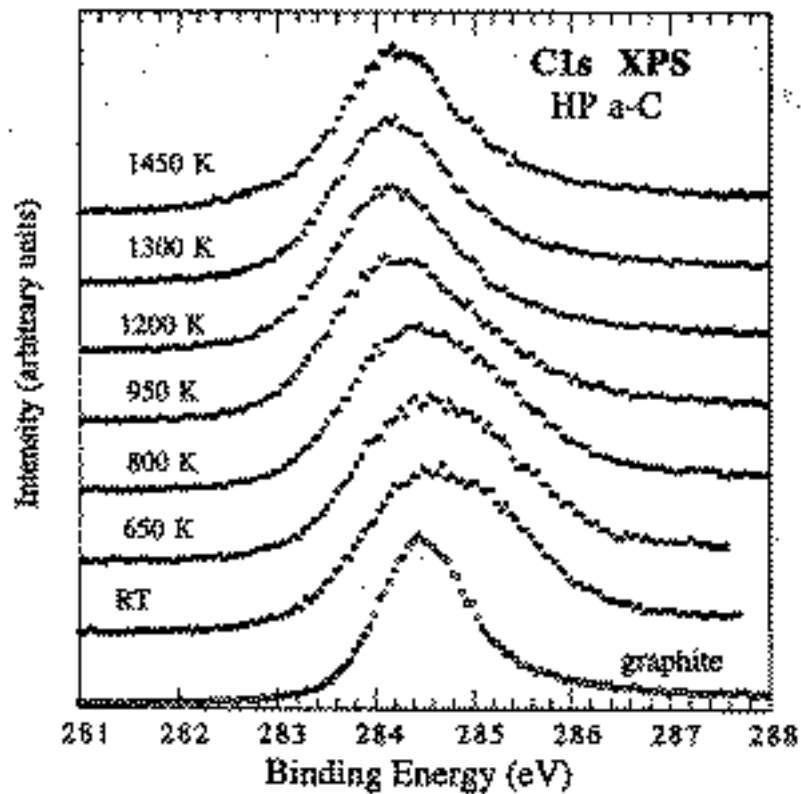


$$\Delta E_B^{A-B} > 0$$

- $(\Delta Q^A - \Delta Q^B) > 0$ :  $\pi$  **are more delocalized** than  $\sigma$
- $(V^A - V^B) > 0$ : **more effective screening** in graphite

# Photoemission Spectroscopy (XPS-UPS)

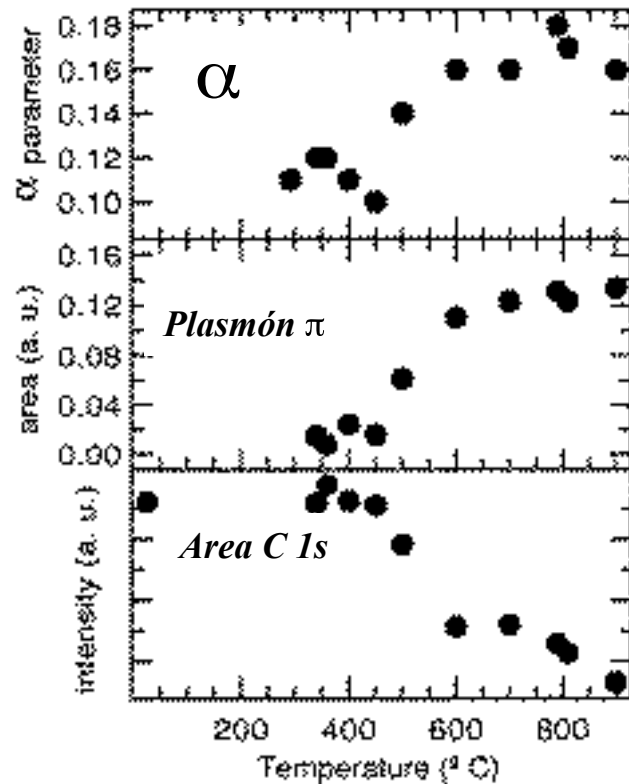
## XPS in a-C



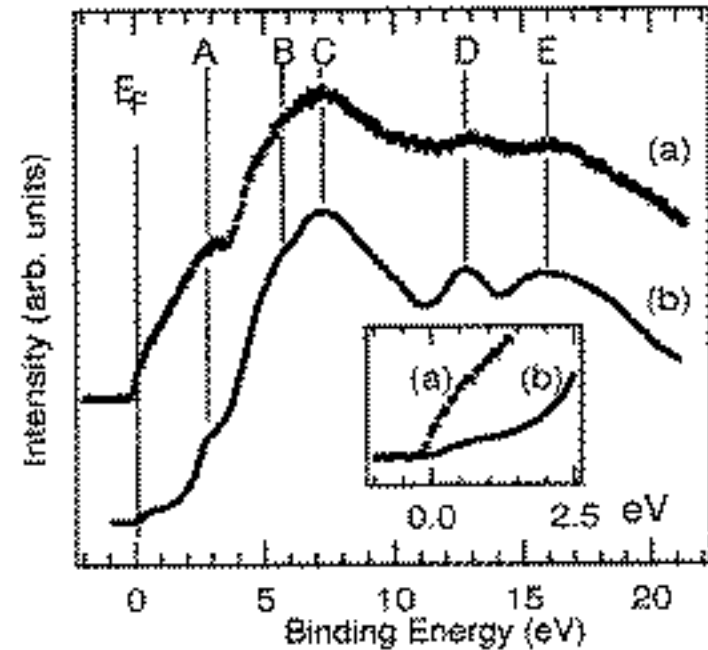
*Two different environments with  $E_B$  similar to graphite and diamond*

$$I_1/I_2 = sp^2/sp^3$$

## Photoemission Spectroscopy (XPS-UPS)



**Phase Transition between  
450° and 600° C**

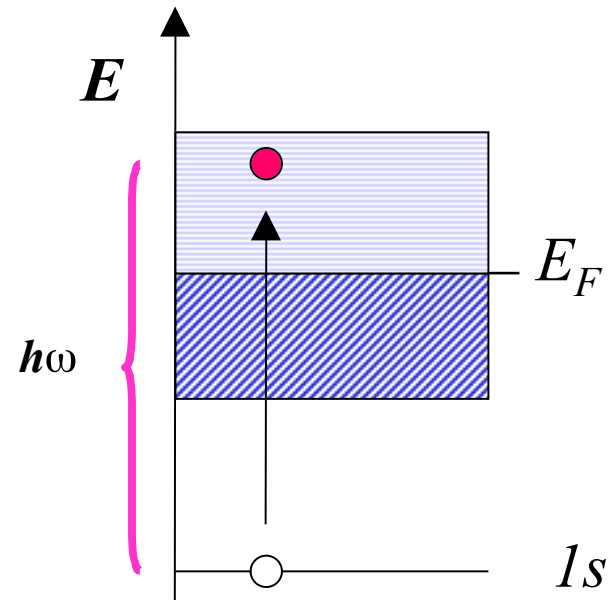


**Density of States at the  $E_F$   
is higher in annealed a-C  
than in graphite**

# *X-ray Absorption (NEXAFS)*

• *NEXAFS de C 1s:*  
*p-projected density of*  
*unoccupied states*

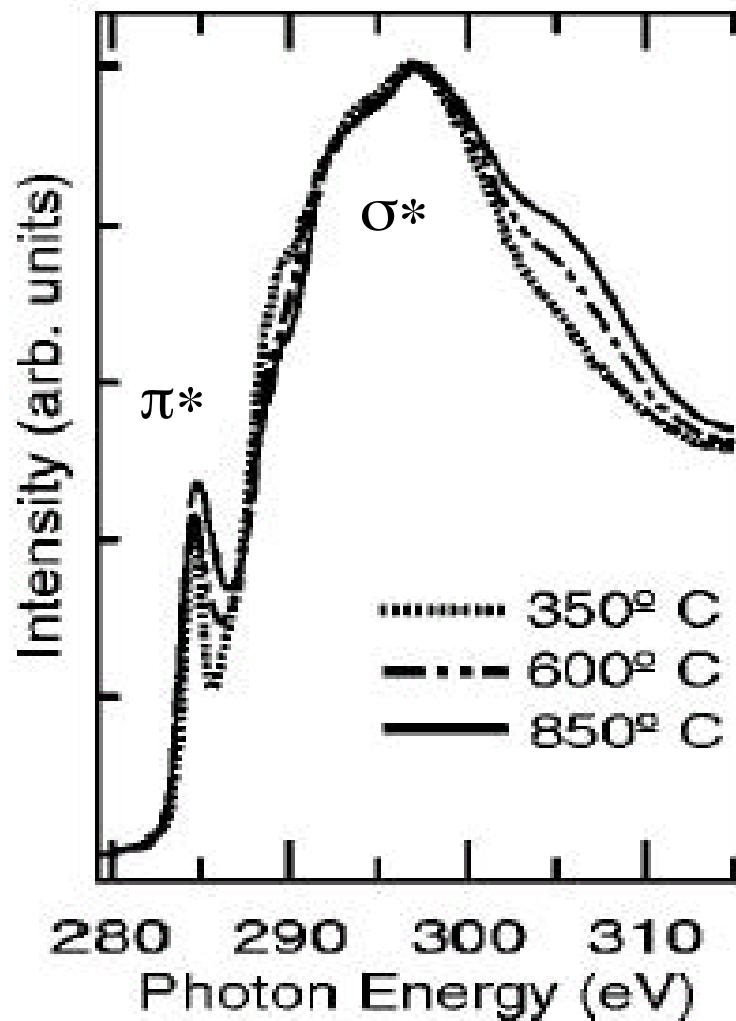
$$\sigma \sim |\langle \psi_i | E p | \psi_f \rangle|^2$$





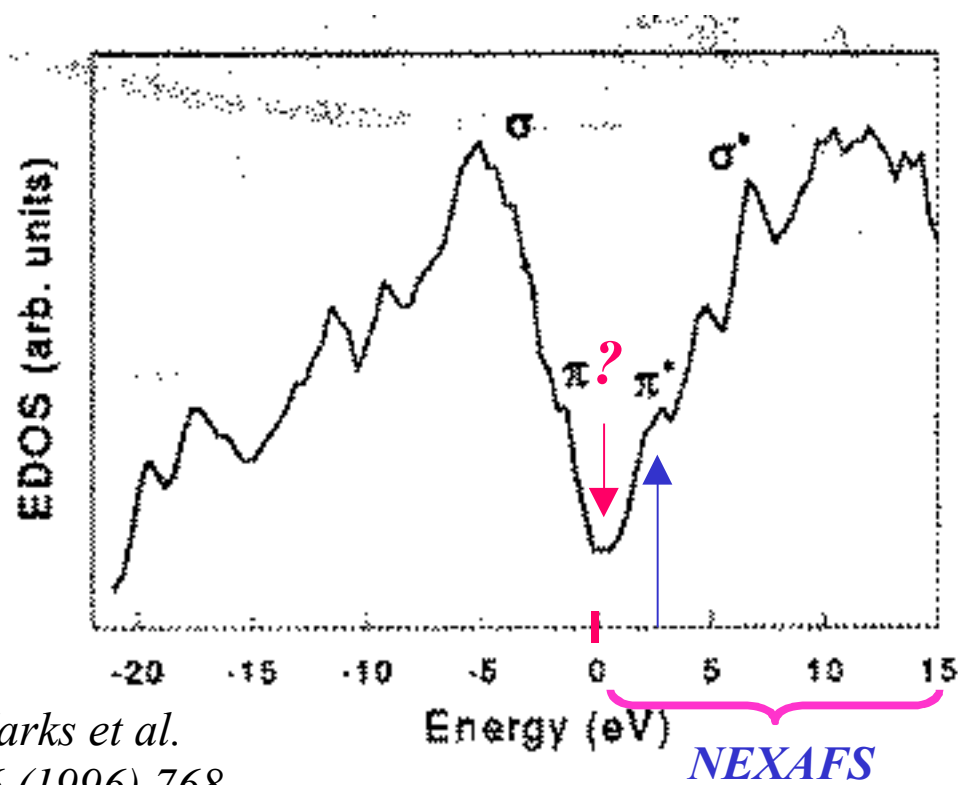
## *X-ray Absorption (NEXAFS)*

*NEXAFS:*

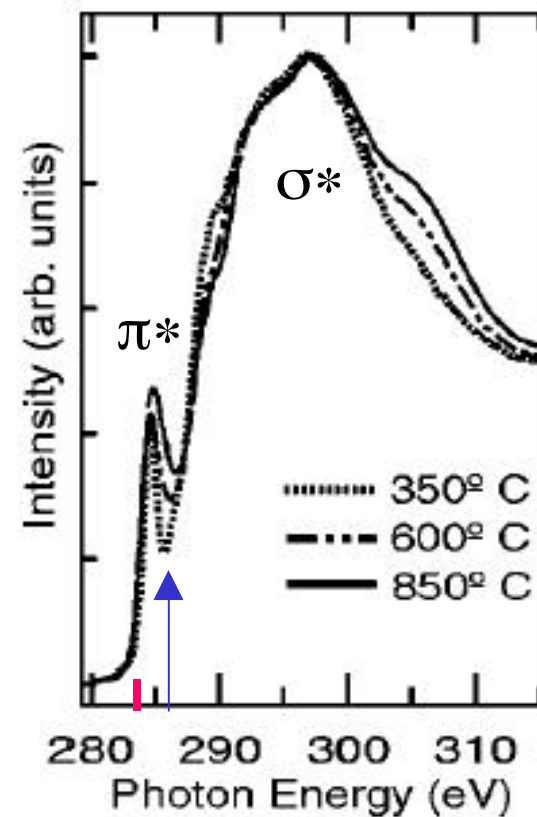


$$\pi^* / \sigma^* \sim sp^2 / sp^3$$

## X-ray Absorption (NEXAFS)



N.A. Marks et al.  
PRL 76 (1996) 768



- What is the *right* intensity of  $\pi^*$  states spectra of a-C?

- What can be *learn* from the  $\sigma^*$  part of the NEXAFS spectra?

# *X-ray Absorption (NEXAFS)*

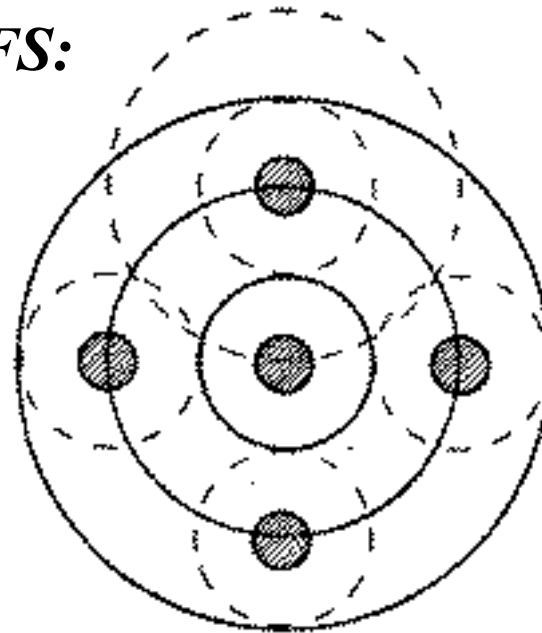
*Alternative interpretation for NEXAFS:  
Scattering of the excited  $e^-$  by  
nearest neighbors*

$$\mu(E) = \mu_{at}(E) + \mu_{sol}(E)$$

$$\mu_{sol}(k) \sim \sin(2kR + \theta)$$

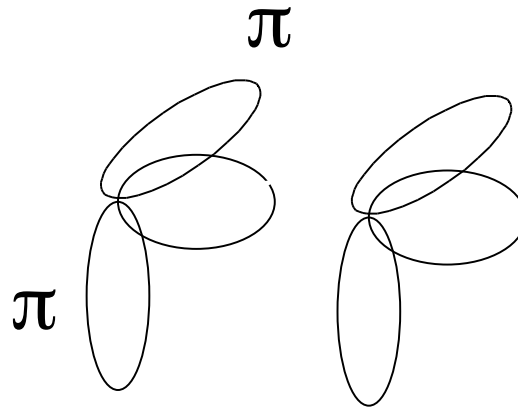
$$1^{st} \text{ max.: } 2kR + \theta = \pi/2$$

$$\hbar k = \{2m(\Delta_{\sigma} - E_F)\}^{1/2}$$



$$\Delta_{\sigma} = (Ch^2/2m)R^{-2} + E_F$$

## *X-ray Absorption (NEXAFS)*

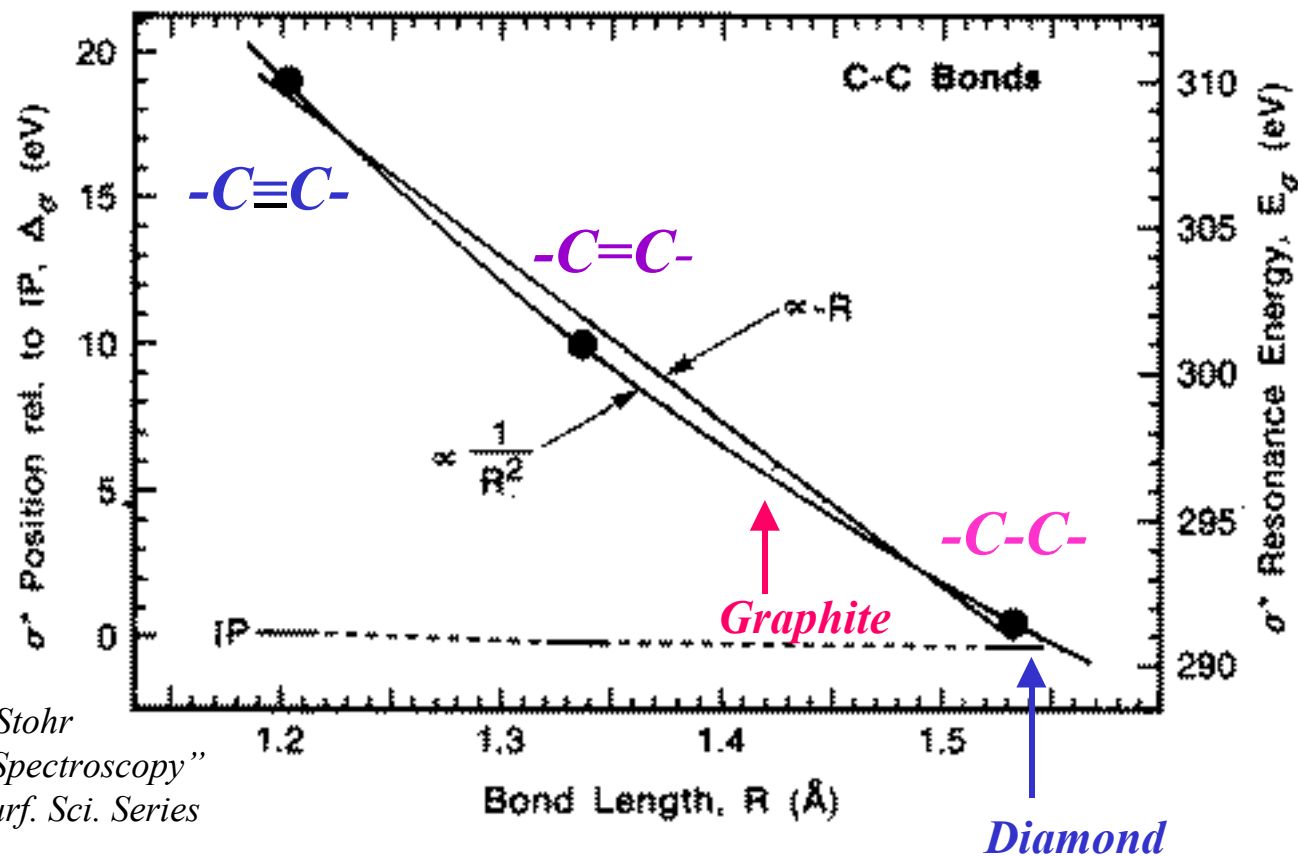


*$\sigma$  electrons are spatially confined,  
like in an infinite potential well*

- $\sigma$  binding energy strongly dependent on  $R$

$$\Delta E_{\sigma} \sim 1/R^2$$

# X-ray Absorption (NEXAFS)



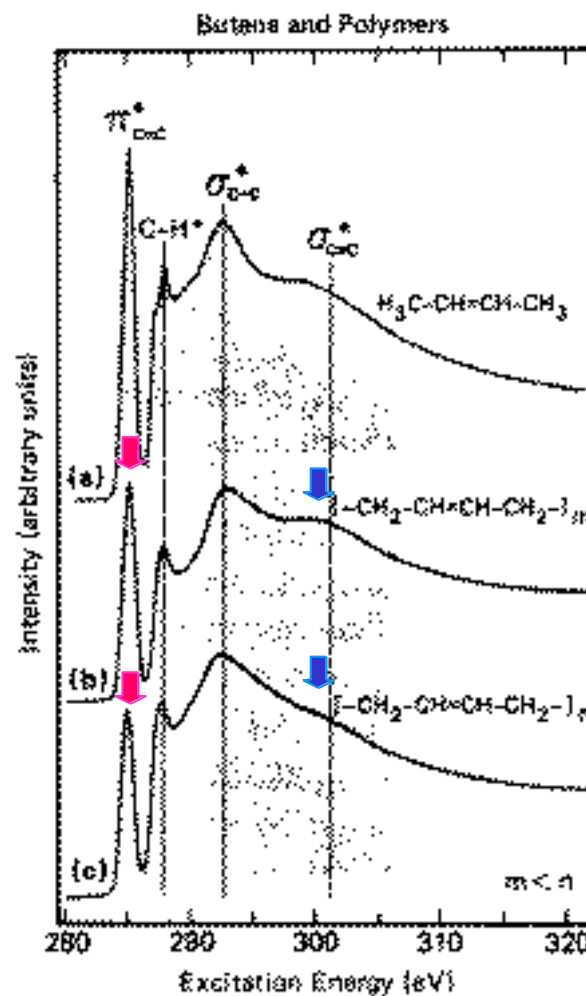
J. Stohr  
 "NEXAFS Spectroscopy"  
 Springer Surf. Sci. Series

• The type of bonding is determined from the  $\sigma^*$  energy position

## *X-ray Absorption (NEXAFS)*

*NEXAFS recognize  
only  
the atomic environment  
of the excited atom*

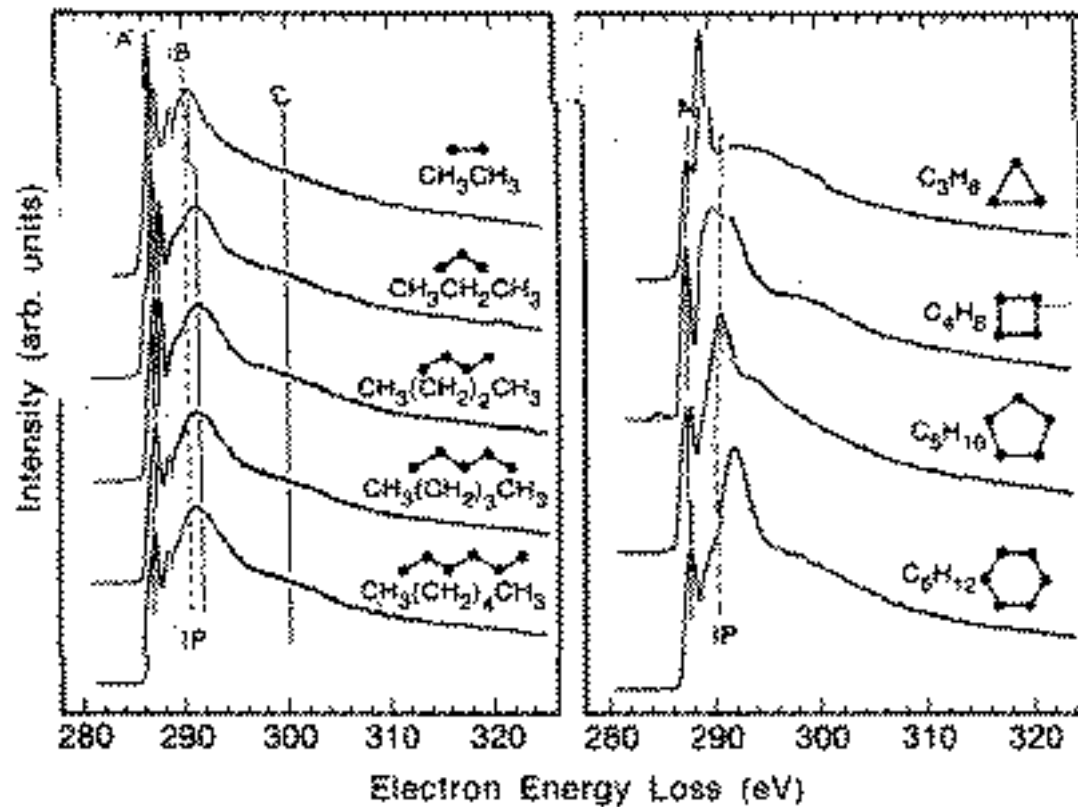
*“Building block” picture*



J. Stohr  
“NEXAFS Spectroscopy”  
Springer Surf. Sci. Series



# X-ray Absorption (NEXAFS)

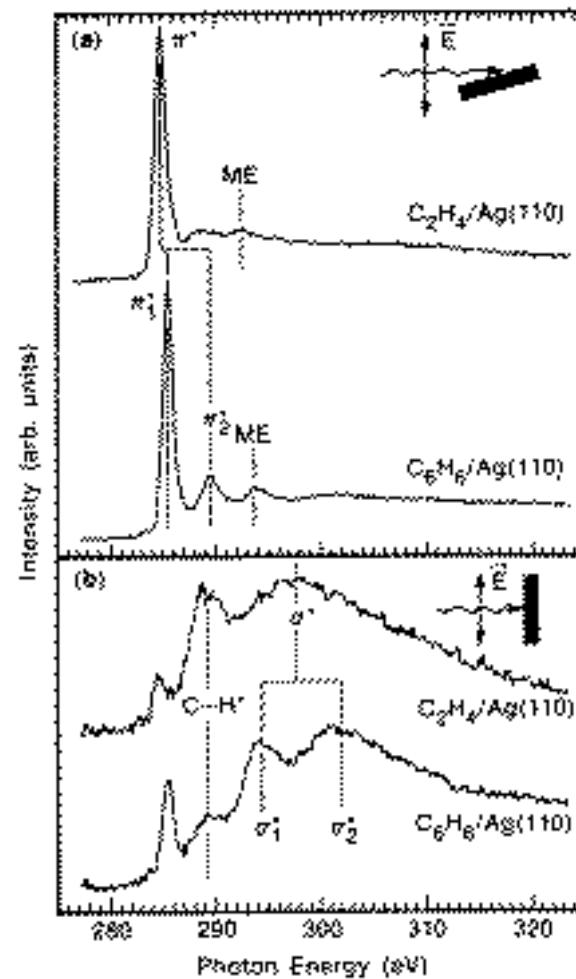


J. Stohr

"NEXAFS Spectroscopy"

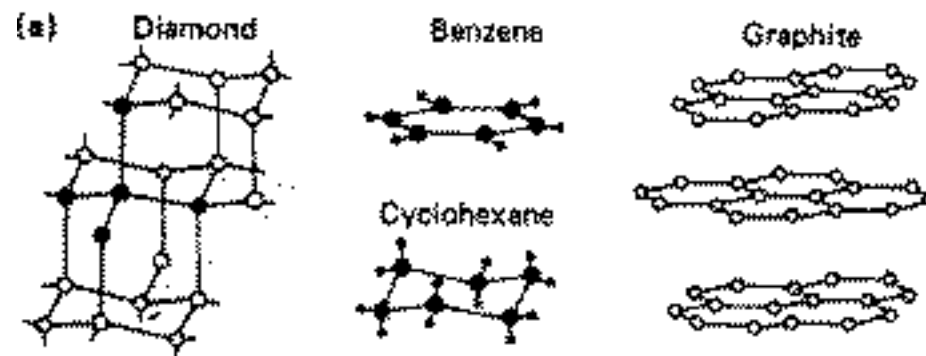
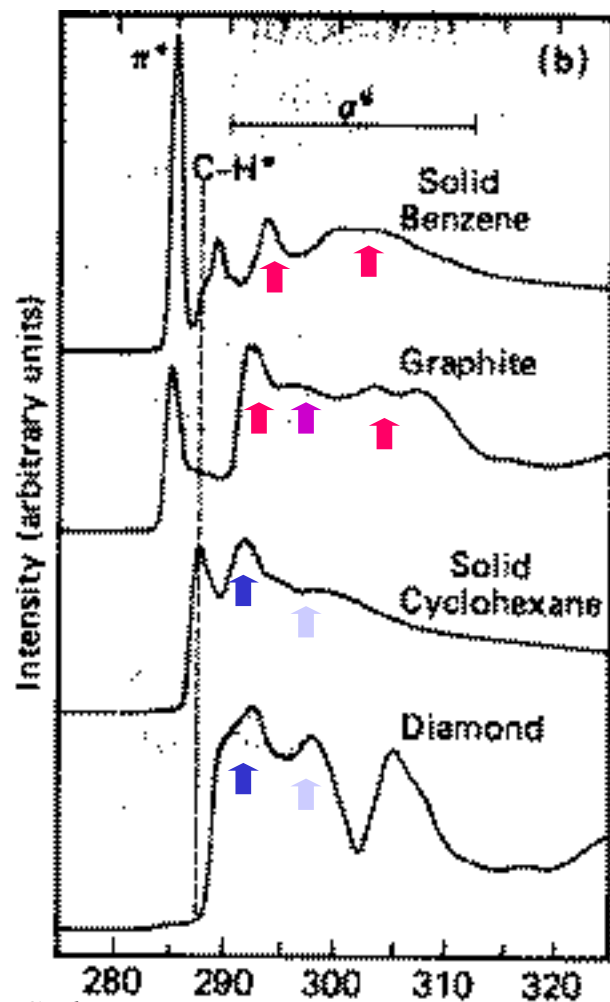
Springer Surf. Sci. Series

**$\sigma$  bond interaction between aliphatic molecules is weak**



**$\sigma$  bond interaction between aromatic molecules is stronger**

# X-ray Absorption (NEXAFS)

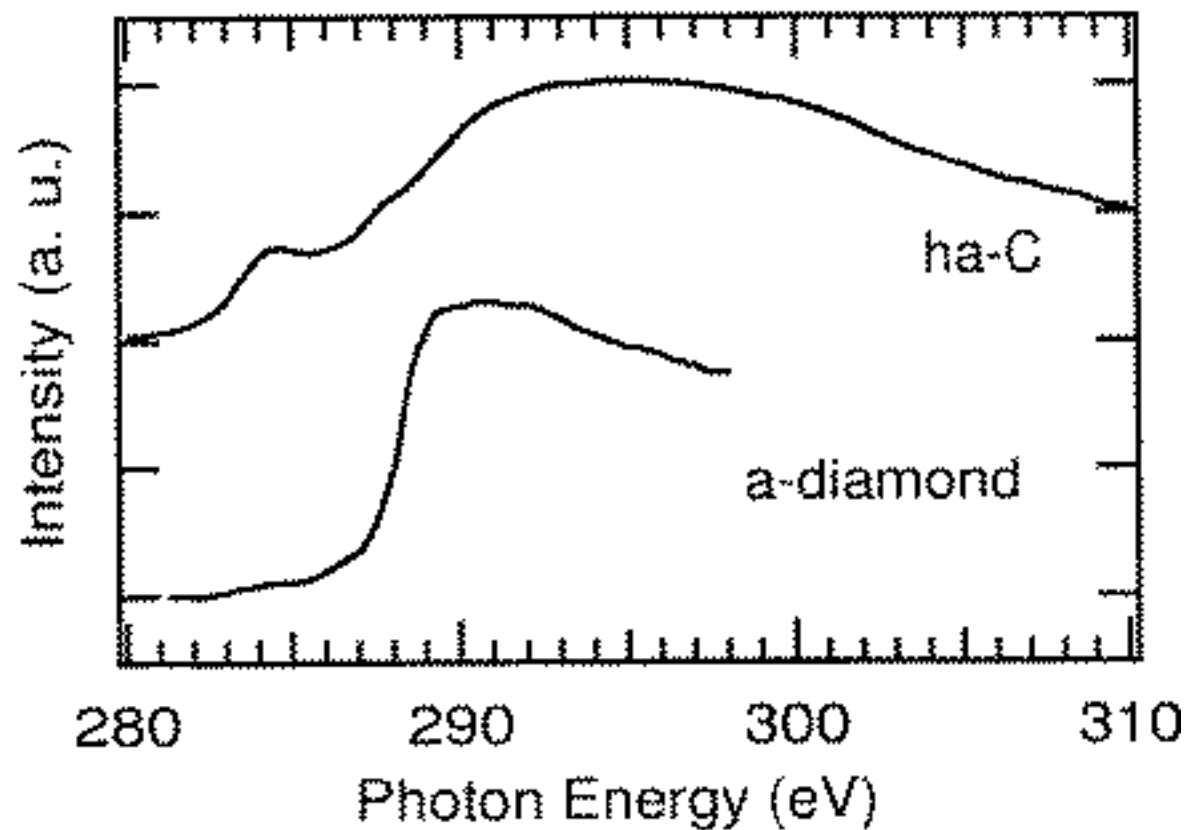


• “Building block” picture:  
from molecules to crystals

J. Stohr

“NEXAFS Spectroscopy”  
Springer Surf. Sci. Series

## *X-ray Absorption (NEXAFS)*

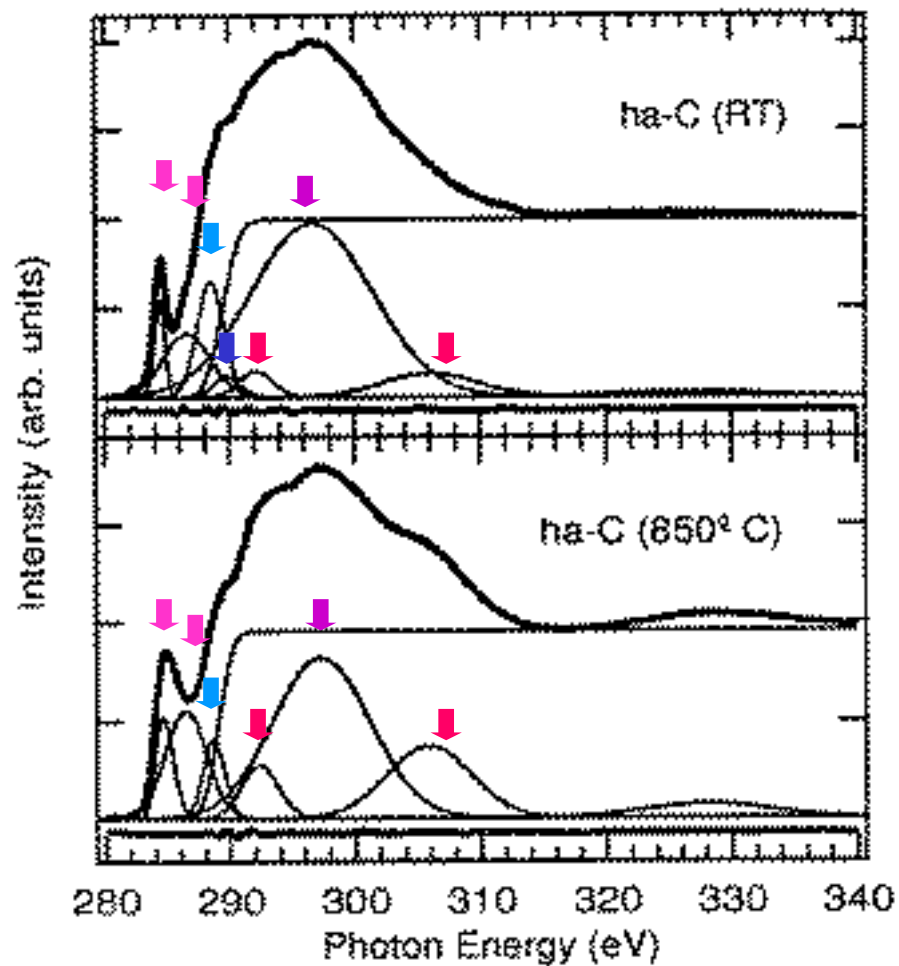


*H. Hirai et al.  
Phys. Rev. B 60  
(1999), 6357*

- $\sigma^*$  from  $sp^3$  atoms are in the region 288-292 eV

# *X-ray Absorption (NEXAFS)*

## *Components:*



*“non-conjugated”  $sp^2$*

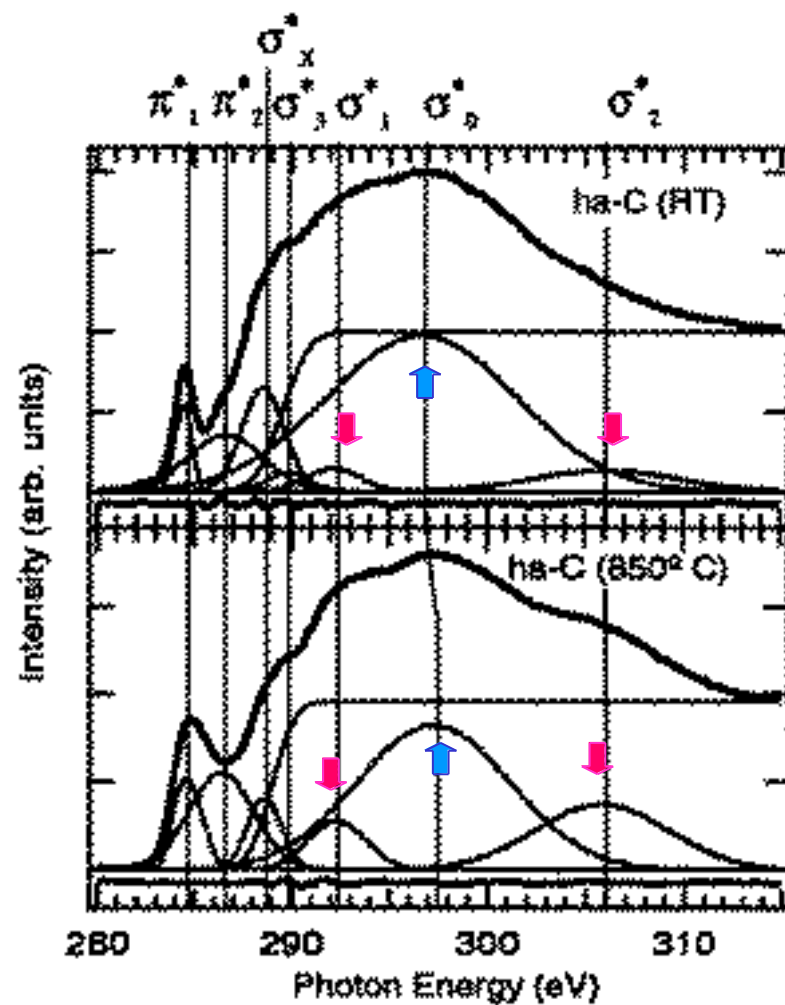
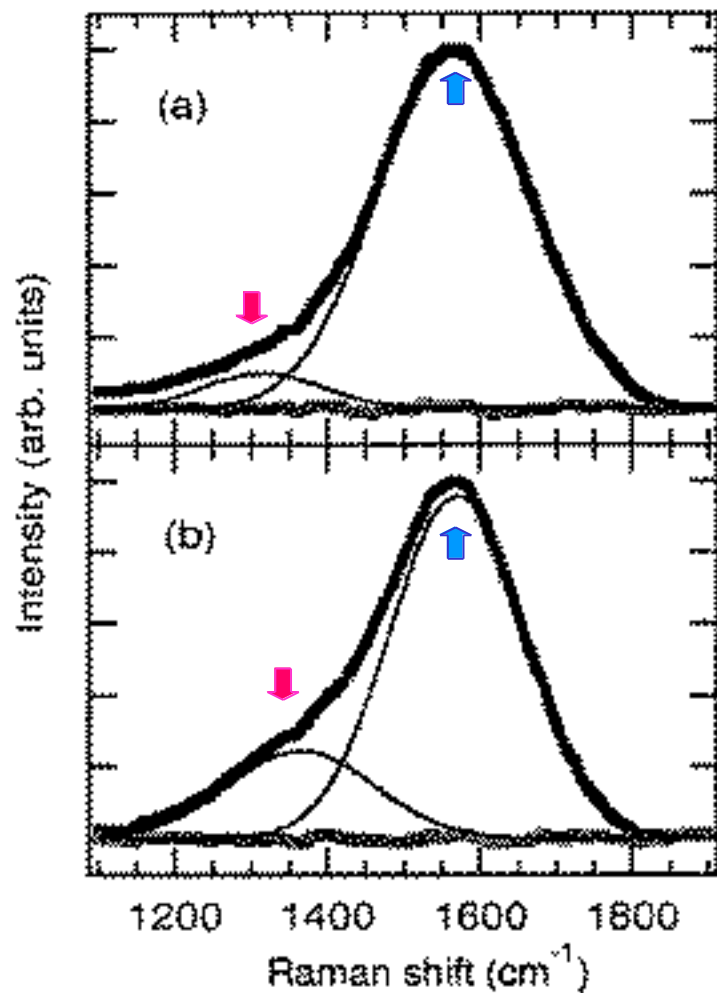
*conjugated  $sp^2$*

*$sp^3$  bonded*

*$\pi^*$  band*

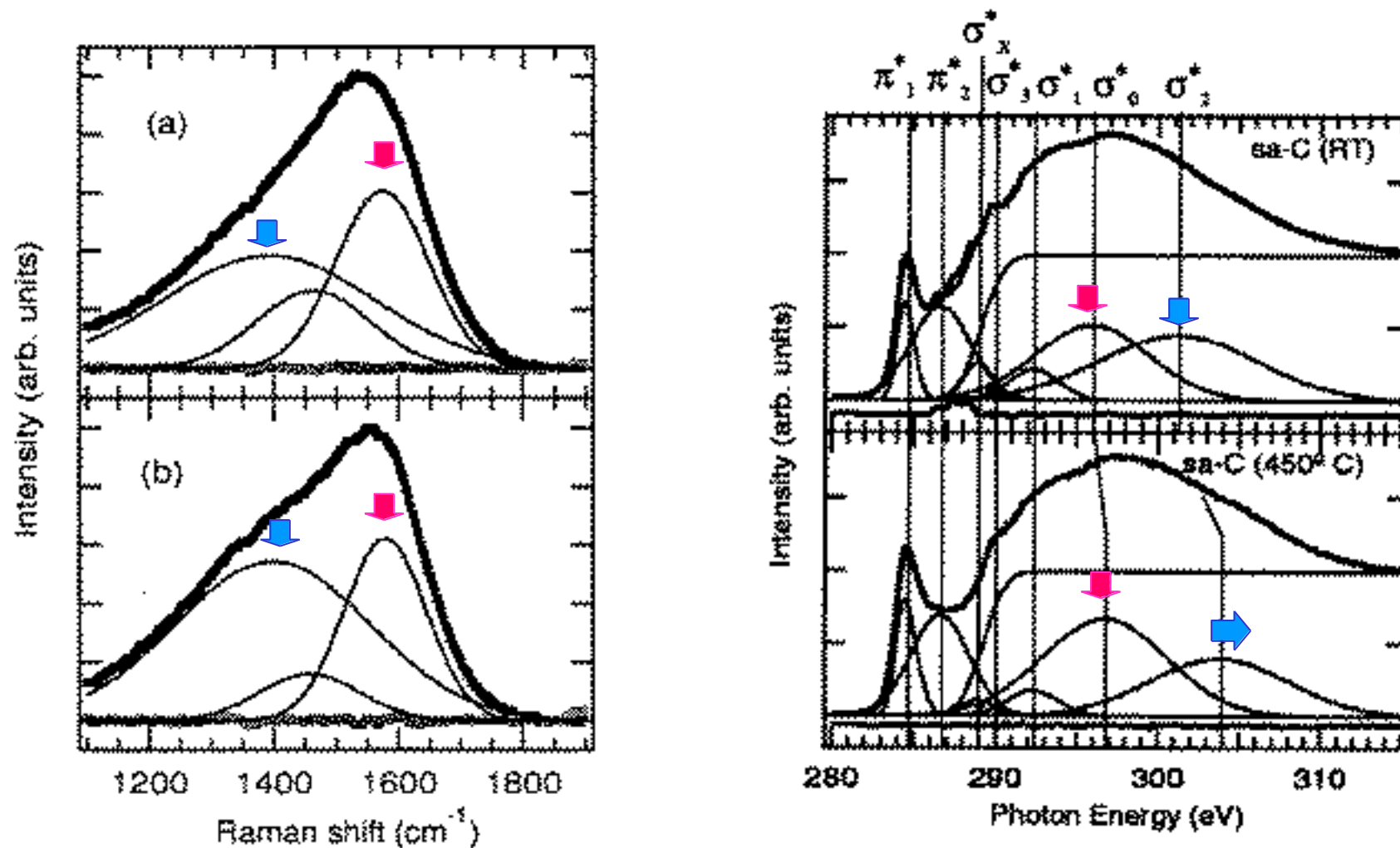
# *X-ray Absorption (NEXAFS)*

## *Correlation Raman - NEXAFS in hard a-C*



# X-ray Absorption (NEXAFS)

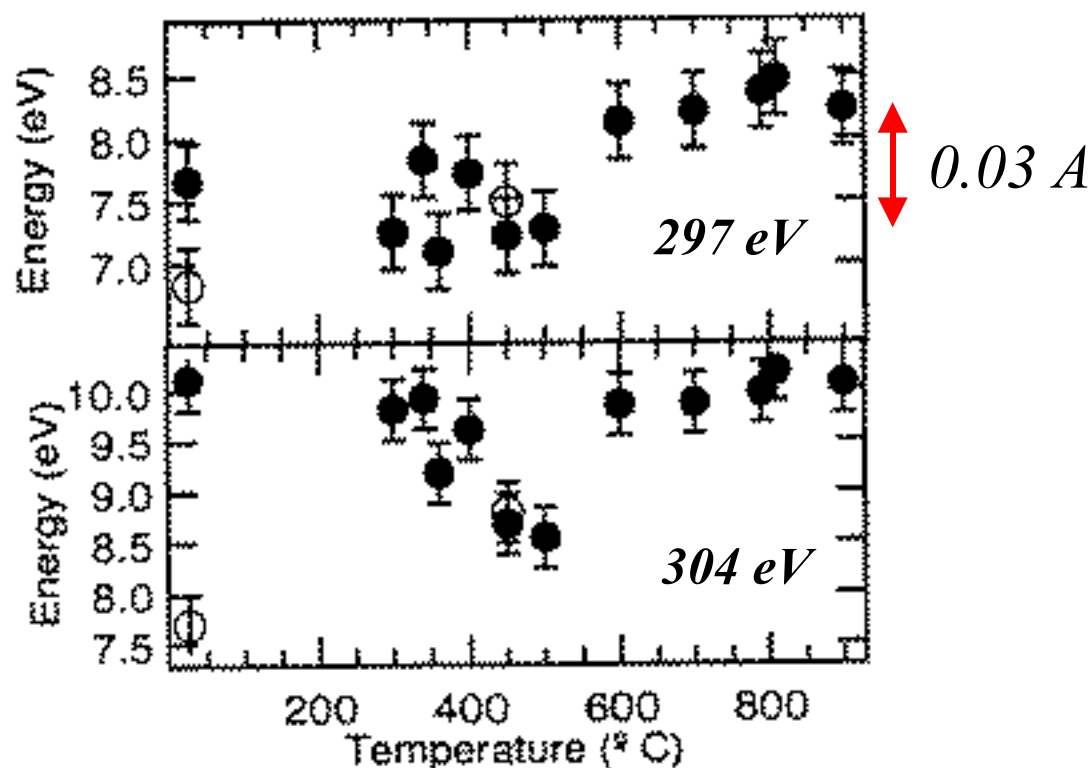
## Correlation Raman - NEXAFS in soft a-C





## *X-ray Absorption (NEXAFS)*

$\sigma^*$  energy with respect to  $E_{vac}$



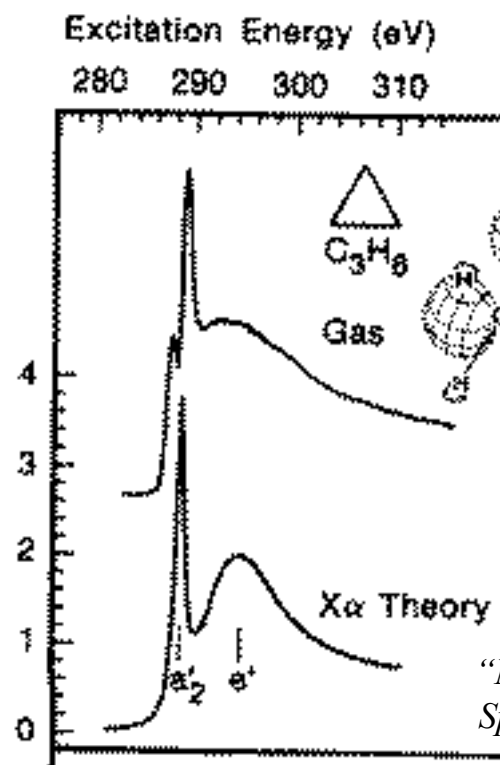
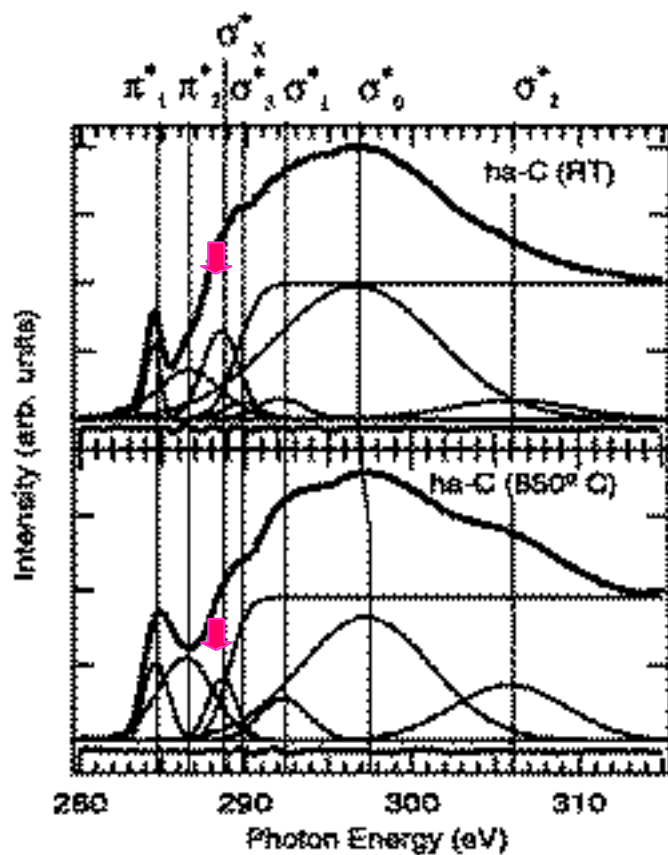
*“Phase transition” between 450° C and 600° C  
(detected through  $\sigma^*$  binding energy):*

*$sp^2$  bond length shrinks*

## X-ray Absorption (NEXAFS)

Component at **288.5 eV**:

- Decreases with  $T$
- Lower in “soft” sa-C



J. Stohr  
“NEXAFS Spectroscopy”  
Springer Surf. Sci. Series

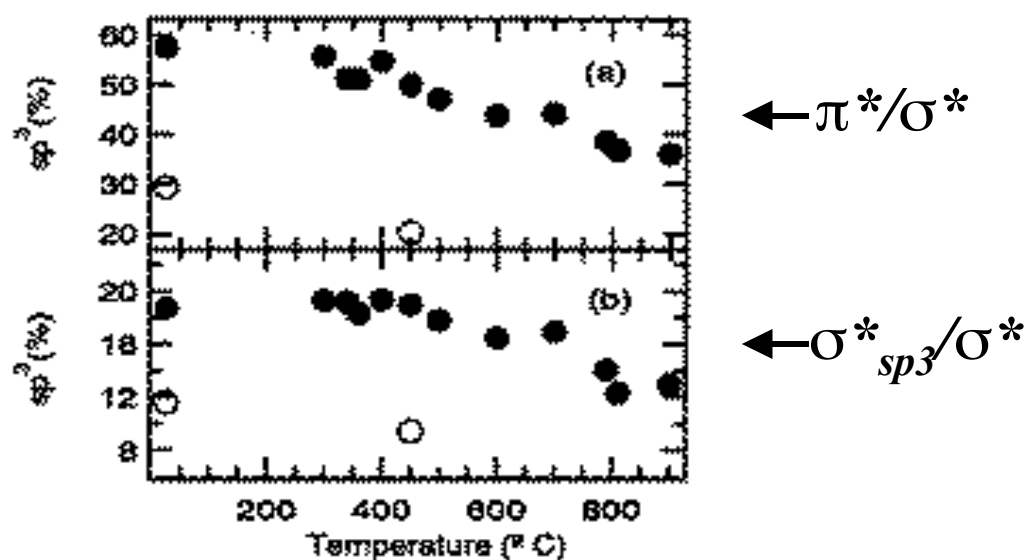
**Cyclopropane: strained  $\sigma$  bond (bond angle  $\sim 60^\circ$ !)**

## *X-ray Absorption (NEXAFS)*

*Analysis allows:*

- *Separation of  $\pi^*$  from  $\sigma^*$  states*
- *Assignment of  $\sigma^*$  component to the type of bonding*

*$sp^3$  estimation in **two** different ways*



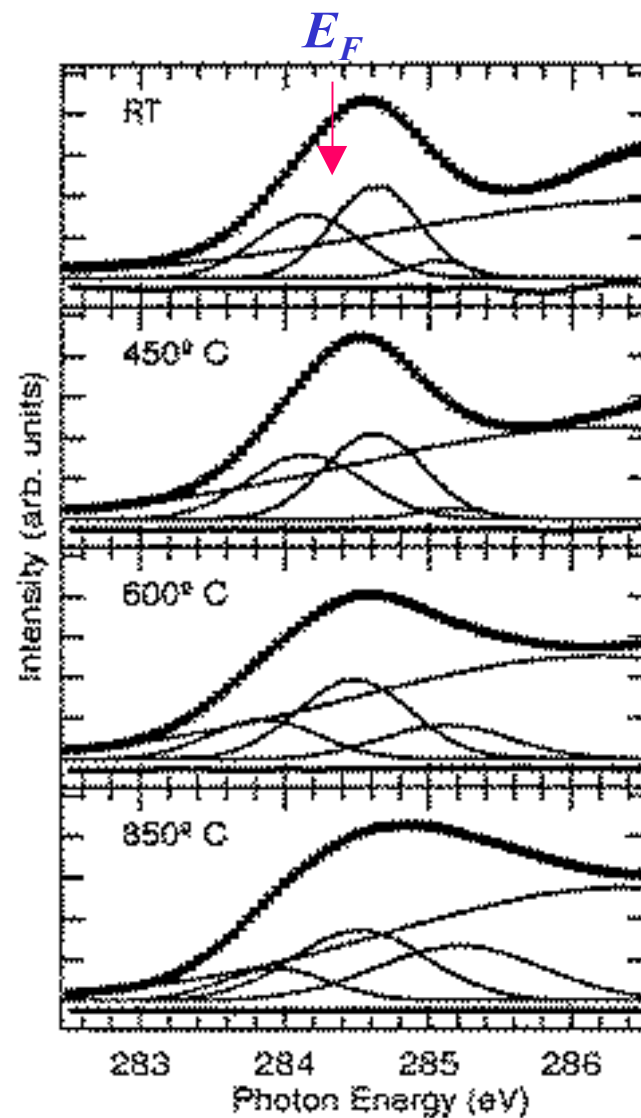
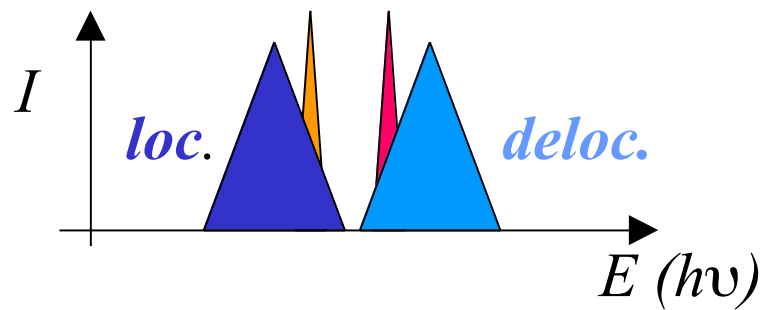
# X-ray Absorption (NEXAFS)

## Structure in $\pi^*$

### •Correlation XPS & NEXAFS:

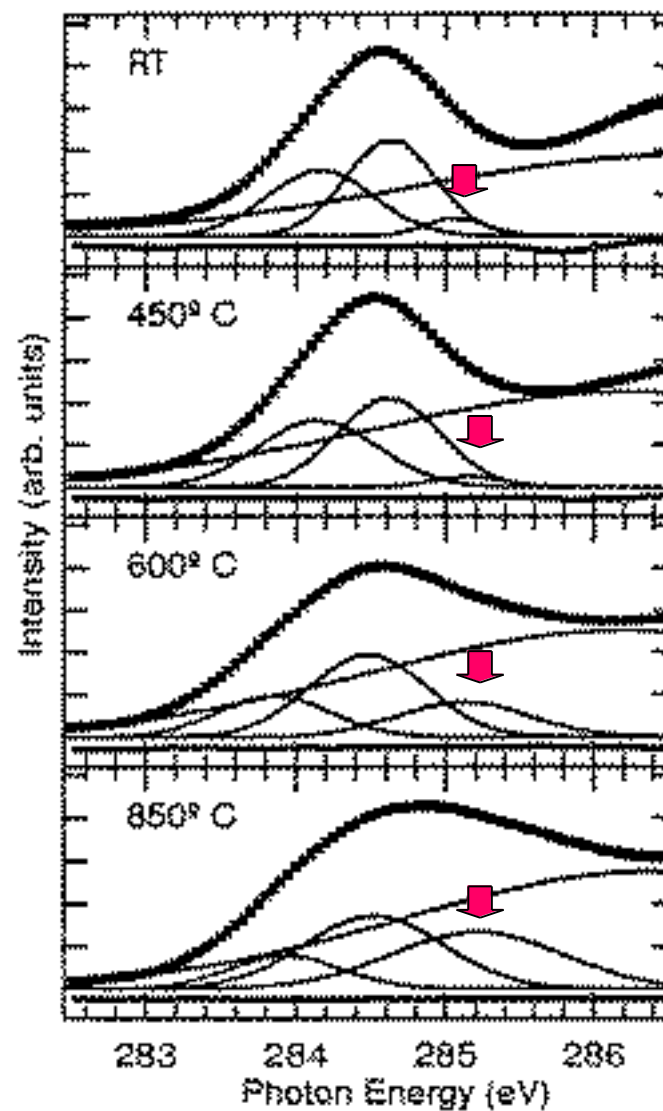
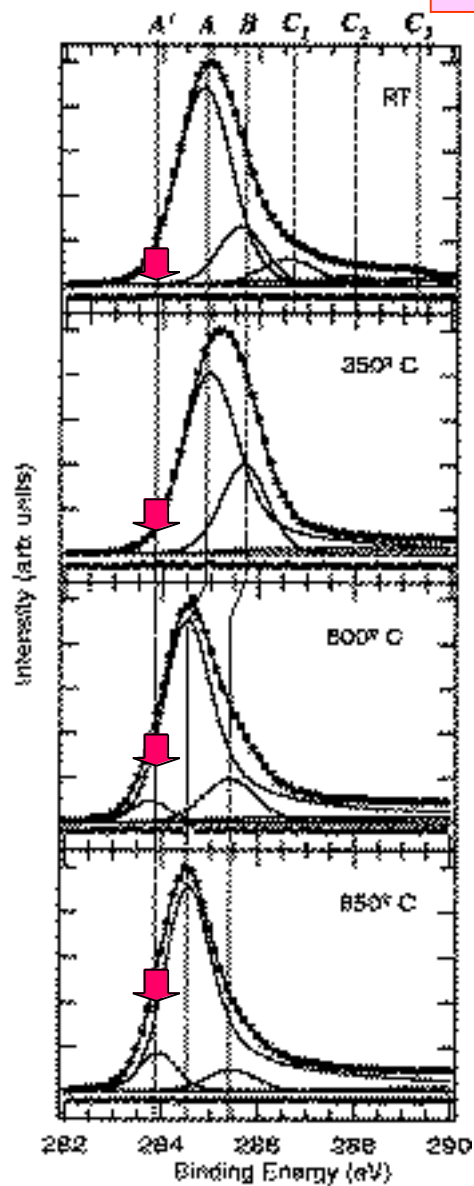
$XPS \rightarrow Solid^+$

$NEXAFS \rightarrow (Solid^+)^-$



# X-ray Absorption (NEXAFS)

## Correlation XPS & NEXAFS @ $\pi^*$ ?



## CONCLUSIONS

*Analysis of NEXAFS spectra of a-C as a BIG POLYMER:*

- *Consistent with Raman and photoemission spectroscopies*

- *Allows separación of  $\pi^*$  states from  $\sigma^*$  states:*

- + *Bond selective technique: changes in bond length for each type of bond.*

- +  *$sp^3$  bonding as cyclopropane*

- + *different, and more fundamental way of  $sp^3/sp^2$  estimation*

- *Sharp structural and electronic changes at  $\sim 550^\circ\text{C}$*